

P21587.A08



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Naoyuki NISHIKAWA et al.

Office of Petitions

Group Art Unit: Unknown

Serial No : 09/926,355

Examiner: Unknown

Filed : October 19, 2001

For : TRICYCLIC COMPOUNDS

PETITION UNDER 37 C.F.R. § 1.182 CONCERNING INVENTOR NAME CHANGE

Commissioner of Patents and Trademarks
Washington, DC 20231

Sir :

This is a petition under 37 C.F.R. § 1.182 and MPEP § 605.04(c) to change an inventor's name on the above referenced application. A declaration of inventor Naomi Masuda explaining the circumstances of the name change is being filed concurrently herewith.

The March 29, 2002 Decision from the Patent Office on Applicants' response filed February 19, 2002, states that the signed declaration of the inventors, which was filed in response to the Notice to File Missing Requirements, is not acceptable.

As set forth in the attached Declaration of Naomi Masuda, as a result of marriage after the application was filed, this inventor's name was legally changed from Naomi Takei to Naomi Masuda. Therefore, Applicants respectfully request that the name on the application be changed and the declaration filed February 19, 2002 be accepted.

A petition fee under 37 C.F.R. 1.17(h) in the amount of \$130.00 is enclosed. The

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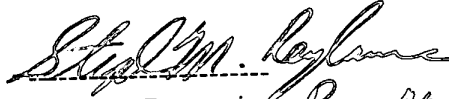
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OFFICE OF PETITIONS

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Commissioner is hereby authorized to credit any overpayment or charge any additional fee to
Deposit Account No. 19-0089.

Respectfully submitted,
Naoyuki NISHIKAWA et al.



Bruce H. Bernstein

Reg. No. 29,027

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31,296

May 24, 2002
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P21587.A07

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Naoyuki NISHIKAWA et al.

Group Art Unit: Unknown

Serial No : 09/926,355

Examiner: Unknown

Filed : October 19, 2001

For : TRICYCLIC COMPOUNDS

DECLARATION OF NAOMI MASUDA CONCERNING NAME CHANGE

Commissioner of Patents and Trademarks
Washington, DC 20231

Sir :

I, Naomi Masuda, hereby declare:

1. I am a co- inventor of the subject matter claimed in the above-referenced application.
2. My name was legally changed from Naomi Takei to Naomi Masuda as a result of marriage on September 14, 1999 . [Date of Name Change]

3. I further declare that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity in the above-captioned application or any patent issuing therefrom.

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P21587.A07

May 13, 2002

Date

Naomi Masuda

Naomi Masuda

May 13, 2002

Date

Naomi Takei

Naomi Takei